

# Aspects of the Equivalence Principle

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## 1 The Equivalence Principle

# Outline

- 1 The Equivalence Principle
- 2 Implications of the UFF

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- 3 Order of equations of motion

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- 4 Finsler geometry – Existence of inertial systems

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# The present situation

All predictions of General Relativity are experimentally well tested and confirmed

## Foundations

### The Einstein Equivalence Principle

- Universality of Free Fall
- Universality of Gravitational Redshift
- Local Lorentz Invariance



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## Implication

Gravity is a metrical theory

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## Implication

Gravity is a metrical theory



## Predictions for metrical theories

- Solar system effects
  - Perihelion shift
  - Gravitational redshift
  - Deflection of light
  - Gravitational time delay
  - Lense–Thirring effect
  - Schiff effect
- Strong gravitational fields
  - Binary systems
  - Black holes
- Gravitational waves

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**General Relativity**

# Description of tests of the universality principles

Purpose: parametrization of deviations, comparison of different experiments

Haugan formalism (Haugan, AP 1979)

Ansatz: effective atomic Hamiltonian (from modified Dirac and modified Maxwell)

$$H = mc^2 + \frac{1}{2m} \left( \delta^{ij} + \frac{\delta m_i^{ij}}{m} \right) p_i p_j + m \left( \delta_{ij} + \frac{\delta m_{gij}}{m} \right) U^{ij}(\mathbf{x}) + \dots$$

- additional anomalous spin terms (CL, CQG 1996, SME)
- additional anomalous charge terms (Dittus, C.L., Selig, GRG 2006)

can calculate (all quantities depend on **all** anomalous parameters)

- acceleration  $\longrightarrow$  WEP tests
- frequency comparison  $\longrightarrow$  redshift tests
- spin dynamics



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# Consequences of the UFF

## Trajectories

- Trajectory of a particle  $x = x(p; t)$   
 $p$  = particle parameter (e.g. mass, charge, etc)
- UFF  $\Rightarrow$  trajectory does not depend on particle parameters  $x = x(t)$   
 This is already the geometrization of the gravitational interaction
- The set of all trajectories is a path structure

## Order of equations of motion / Cauchy problem

- Newton's setup: trajectory determined through
  - initial position  $x_0 = x(t_0)$  and
  - initial velocity  $v_0 = \dot{x}(t_0)$ .

$\Rightarrow$  ordinary differential equations of second order:  $\ddot{x}^\mu = H^\mu(p; x, \dot{x})$

Question: Why the fundamental equations of motion are of second order?  
 Equivalent to questioning Newton's second axiom

# Consequences of the UFF

UFF + second order

equation of motion

$$\ddot{x}^\mu = H^\mu(x, \dot{x})$$

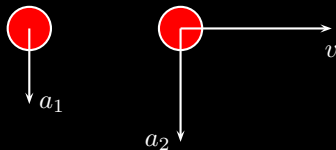
- equation of motion does **not** depend on particle parameter  $p$
- equation of motion is of second order
- this defines a **curve structure**

**Gravity cannot be transformed away:**

Acceleration towards the center of Earth  
depends on horizontal velocity

exists no inertial system

Implies several effects:  $G(T)$ , violation of  
UGR (compare **Hohensee, Müller, PRL  
2013**), ...



# The free fall: The notions

Gravity can be transformed away

$\exists$  coordinate system  $\forall$  particles :  $\ddot{x} = 0$

Then in an arbitrary coordinate system

$$\ddot{x}^\mu = -\Gamma_{\rho\sigma}^\mu(x) \dot{x}^\rho \dot{x}^\sigma$$

autoparallel equation, projective structure (Ehlers, Pirani, Schild 1973, Coleman & Korte, many papers in the 80's)

- Need still relation between the connection  $\Gamma_{\rho\sigma}^\mu(x)$  and the metric  $g_{\mu\nu}$ 
  - properties of light and clocks as formulated in EPS axiomatics (Ehlers, Pirani, Schild 1993)
  - free turnability (Helmholtz, Lie)
- result: Riemannian geometry
- How to test whether gravity can be transformed away?
- equivalent to questioning Newton's first axiom



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# Order of equation of motion?

## Usual framework

$$L = L(t, \mathbf{x}, \dot{\mathbf{x}}) \quad \Rightarrow \quad \frac{d}{dt} \mathbf{p} = \mathbf{F}(t, \mathbf{x}, \dot{\mathbf{x}}) \quad \text{with} \quad \mathbf{p} = m\dot{\mathbf{x}}$$

more general equations?

- $\mathbf{p} = m\dot{\mathbf{x}}$  is a constitutive law. Can be more general (as is many cases)

$$\mathbf{p} = \mathbf{f}(\dot{\mathbf{x}}, \ddot{\mathbf{x}}, \ddot{\ddot{\mathbf{x}}}, \dots)$$

then higher order equations of motion

- Influence of external fluctuations (e.g. space-time fluctuations, gravitational wave background, Göklü, C.L., Camacho & Macias, CQG 2009): generalized Langevin equation with extra force term

$$\int_0^t C(t-t') \dot{\mathbf{x}}(t') dt'$$

# Order of equation of motion?

## Generalized framework

$$L = L(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) \quad \Rightarrow \quad \frac{d^2}{dt^2} (\epsilon \ddot{\mathbf{x}}) = \mathbf{F}(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}})$$

## Our specific model

Gauge procedure in order to invent structure of interactions

$$L(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) = L_0(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) \underbrace{-q_0 A_a \dot{x}^a}_{\text{1st order gauge fields}} + \underbrace{q_1 A_{ab} \dot{x}^a \dot{x}^b}_{\text{2nd order gauge fields}}$$

with (Pais–Uhlenbeck oscillator)

$$L_0(t, \mathbf{x}, \dot{\mathbf{x}}, \ddot{\mathbf{x}}) = -\frac{\epsilon}{2} \ddot{\mathbf{x}}^2 + \frac{m}{2} \dot{\mathbf{x}}^2$$

$\epsilon$  additional new particle parameter,  $\dim \epsilon = \text{kg s}^2$

$$\epsilon_{\text{QG}} \sim m_{\text{Planck}} t_{\text{Planck}}^2 \sim 10^{-95} \text{ kg s}^2$$

$$\epsilon_{\text{Ce}} \sim m_{\text{Ce}} t_{\text{Ce}}^2 \sim 10^{-71} \text{ kg s}^2$$



# Equation of motion

simplest case: constant electric field

$$\epsilon \ddot{\mathbf{x}} + m\ddot{\mathbf{x}} = q\mathbf{E}_0$$

solution in 1D with initial conditions  $x(0) = 0$ ,  $\dot{x}(0) = 0$ ,  $\ddot{x}(0) = 0$ , and  $\ddot{\ddot{x}}(0) = 0$

$$x(t) = \frac{q}{m} E_0 \left( \frac{1}{2} t^2 + \frac{\epsilon}{m} (\cos(\omega t) - 1) \right) \quad \text{small deviation}$$

$$\dot{x}(t) = \frac{q}{m} E_0 \left( t - \sqrt{\frac{\epsilon}{m}} \sin(\omega t) \right) \quad \text{small deviation}$$

$$\ddot{x}(t) = \frac{q}{m} E_0 (1 - \cos(\omega t)) \quad \mathcal{O}(1) \text{ deviation}$$

$$\ddot{\ddot{x}}(t) = \frac{q}{m} E_0 \sqrt{\frac{m}{\epsilon}} \sin(\omega t) \quad \omega = \sqrt{\frac{m}{\epsilon}} \quad \text{large deviation}$$

- zitterbewegung
- Limit  $\epsilon \rightarrow 0$  exists for  $x$  and  $\dot{x}$ , not for  $\ddot{\ddot{x}}$

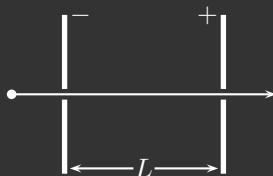


Search for  $\epsilon$ 

Accelerated flight

Flight through accelerator

$$\frac{\langle \dot{x}(L) \rangle - \dot{x}_0}{\dot{x}_0} = \frac{\epsilon}{4m} \frac{\dot{x}_0^2}{L^2}$$



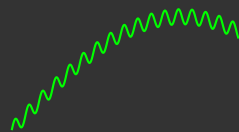
Ion interferometric measurement of acceleration

phase shift

$$\Delta\phi = A(\omega) \mathbf{k} \cdot \ddot{\mathbf{x}}(\omega) T^2$$

with transfer function

$$A(\omega) = C \frac{\sin^2(\omega t)}{\omega^2}$$

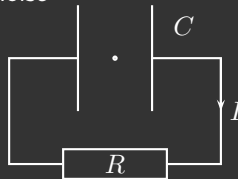


Search for  $\epsilon$ 

## Electronic devices

*Zitterbewegung* of a charged particle induces voltage noise

$$\frac{1}{2}C\langle U^2 \rangle_t = m\langle \dot{x}^2 \rangle = \frac{1}{2}\epsilon \left( \frac{q}{m} E_0 \right)^2$$



- General estimate:  $\epsilon \leq 10^{-50} \text{ kg s}^2$ .
- Application to mirrors in gw interferometers?
- Adding a small higher derivative term is a mathematical method to analyze differential equations.

C.L. & Rademaker, PRD 2012

higher order time derivative in Schrödinger C.L, Bordé 2000

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# Reasons for Finsler geometry

## Why Finsler?

- geometry of field equations
- EPS axiomatics ([Ehlers, Pirani & Schild 1973](#))
- dynamical model for respecting UFF but violating Einstein's elevator
- from Quantum Gravity ([Girelli, Liberati & Sindoni, PRD 2003](#))
- VSR ([Gibbons, Gomis & Pope, PRD 2007](#))
- elegance of Lagrange and Hamilton formalism
- nontrivial generalization of Riemannian geometry
- example for **violation of Schiff's conjecture**
- and **Finsler modifications not covered by PPN test theory**

## Two aspects

- Finsler geometry in the tangent space = Finsler relativity
- Finsler geometry of manifold = Finsler gravity



# Finsler geometry

Finsler space

Finsler length function

$$dl^2 = F(x, dx), \quad F(x, \lambda dx) = \lambda^2 F(x, dx)$$

Finsler metric tensor  $f_{\mu\nu}(x, dx)$  is defined as

$$dl^2 = g_{\mu\nu}(x, dx) dx^\mu dx^\nu, \quad \text{where} \quad g_{\mu\nu}(x, y) = \frac{1}{2} \frac{\partial^2 F(x^k, y^m)}{\partial y^\mu \partial y^\nu}$$

Light cones

Light cone defined by

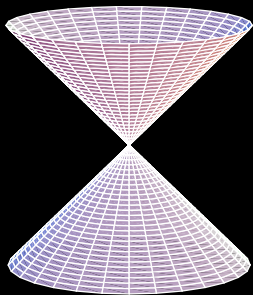
$$ds^2 = dt^2 - dl^2$$

# Finsler geometry

Euclidean light cone

Riemannian light cone

Finslerian light cone

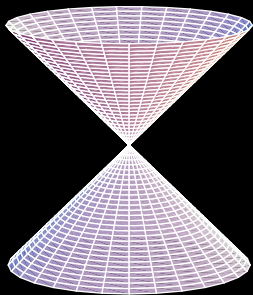


There is **no coordinate transformation** so that the Finslerian light cone can be locally written in Minkowskian form  $0 = -dt^2 + (dx^2 + dy^2)$

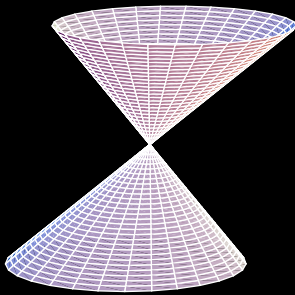


# Finsler geometry

Euclidean light cone



Riemannian light cone

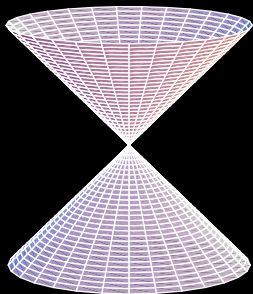


Finslerian light cone

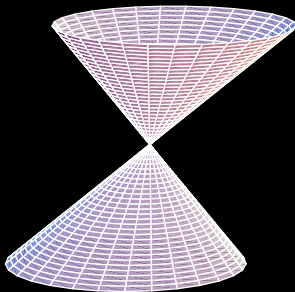
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# Finsler geometry

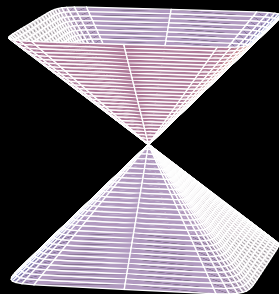
Euclidean light cone



Riemannian light cone



Finslerian light cone



There is **no coordinate transformation** so that the Finslerian light cone can be locally written in Minkowskian form  $0 = -dt^2 + (dx^2 + dy^2)$

# Finsler geometry

## Geodesics

$$\delta \int ds = 0 \quad \Rightarrow \quad 0 = \frac{d^2 x^\mu}{ds^2} + \{ \rho^\mu_{\sigma} \} (x, \dot{x}) \frac{dx^\rho}{ds} \frac{dx^\sigma}{ds}$$

with

$$\{ \rho^\mu_{\sigma} \} (x, \dot{x}) = g^{\mu\nu} (x, \dot{x}) (\partial_\rho g_{\sigma\nu} (x, \dot{x}) + \partial_\sigma g_{\rho\nu} (x, \dot{x}) - \partial_\nu g_{\rho\sigma} (x, \dot{x}))$$

- UFF true, but gravity cannot be transformed away (no Einstein elevator)
- violates LLI: **counterexample to Schiff's conjecture**

# Deviation from Riemann geometry

How to describe deviation from Riemannian geometry? (test theory)

Deviation from Riemann (C.L., Lorek & Dittus, GRG 2009)

- Special case: “power law” metrics (Riemann)

$$dl^2 = (g_{\mu_1\mu_2\dots\mu_{2n}}(x)dx^{\mu_1}dx^{\mu_2}\dots dx^{\mu_{2n}})^{\frac{1}{r}}$$

- From any given Riemannian metric  $g_{ij}$  and a tensor  $\phi_{i_1\dots i_{2r}}$  we can construct a Finslerian metric by

$$\begin{aligned} D^r(dx^i) &= (g_{ij}dx^i dx^j)^r + \phi_{i_1\dots i_{2r}} dx^{i_1} \dots dx^{i_{2r}} \\ &= (g_{i_1 i_2} \dots g_{i_{2r-1} i_{2r}} + \phi_{i_1\dots i_{2r}}) dx^{i_1} \dots dx^{i_{2r}} \end{aligned}$$

- any deviation from Riemann encoded in coefficients  $\phi_{i_1\dots i_{2r}}$
- small deviation given by small  $\phi_{i_1\dots i_{2r}} \ll 1$ , then

$$D(dx^i) = g_{ij}dx^i dx^j \left( 1 + \frac{1}{r} \frac{\phi_{i_1\dots i_{2r}} dx^{i_1} \dots dx^{i_{2r}}}{(g_{kl}dx^k dx^l)^r} \right)$$

# Testing Finsler

- 1 test of Finslerian Special Relativity:
  - Michelson–Morley type test (C.L., Lorek, Dittus, GRG 2009)
  - quantum tests are under consideration (Itin, C.L., Perlick, in preparation)
- 2 test of Finslerian gravity: Finslerian deviation from given solutions of Einstein equation

First model: Finsler modification of Schwarzschild

for  $h_{\mu\nu}$  Schwarzschild metric: simplest Finsler modification

$$2L = (h_{tt} + c^2\psi_0) \dot{t}^2 + ((h_{ij}h_{kl} + \phi_{ijkl}) \dot{x}^i \dot{x}^j \dot{x}^k \dot{x}^l)^{\frac{1}{2}}$$

by spherical symmetry

$$\phi_{ijkl} = \psi_1 \dot{r}^4 + \psi_2 r^2 \dot{r}^2 (\sin^2 \vartheta \dot{\varphi}^2 + \dot{\vartheta}^2) + \psi_3 r^4 (\sin^2 \vartheta \dot{\varphi}^2 + \dot{\vartheta}^2)$$

# Solar system: Approximation, Specifications

- linearization with respect to Finslerian perturbations
- restriction to equatorial plane

then

$$L = \frac{1}{2} \left( (1 + \phi_0) h_{tt} \dot{t}^2 + (1 + \phi_1) h_{rr} \dot{r}^2 + r^2 \dot{\phi}^2 + \phi_2 \frac{h_{rr} r^2 \dot{r}^2 \dot{\phi}^2}{h_{rr} \dot{r}^2 + r^2 \dot{\phi}^2} \right)$$

with

- $\phi_0 := \frac{c^2}{h_{tt}} \psi_0$  modifies temporal metric
- $\phi_1 := \frac{\psi_1}{2h_{rr}^2}$  modifies radial metric
- $\phi_2 := \frac{h_{rr} \psi_2 - \psi_1}{2h_{rr}^2}$  is “Finslerity” – **not covered by standard PPN ansatz**



# Kepler's third law

for circular orbits

$$\frac{r^3}{T^2} \left( 1 - \frac{c^2 r^2}{2GM} \left( \phi_0 \left( 1 - \frac{2GM}{c^2 r} \right) \right)' \right) = \frac{GM}{4\pi^2}$$

from observations

$$r_1 \left| \frac{\phi_0(r_2) - \phi_0(r_1)}{r_2 - r_1} \right| \leq 10^{-16}$$

for all  $r_1$  and  $r_2$  between Mercury and Neptune

# Radial acceleration

acceleration from rest

$$\frac{d^2 r}{d\tau^2} = -\frac{GM}{r^2} \left( 1 - \phi_1 - \phi_0' r \left( 1 - \frac{c^2 r}{2GM} \right) \right)$$

from observations

$$|\phi_1(r)| \leq 10^{-6}$$

so far no effect related to Finslerity

# Effects for Finslerity

- for access to the **Finslerity** one needs  $\dot{\phi} \neq 0$  **and**  $\dot{r} \neq 0$
- this is for light deflection, gravitational time delay, perihelion shift
- calculations are a bit involved ....

- light deflection

$$|10^4 \phi_1 + \phi_2| \leq 50$$

will be improved by Gaia

- gravitational time delay

$$|20 \phi_1 + \phi_2| \leq 10^{-3}$$

- perihelion shift

$$|\phi_2| \leq 10^{-3}$$

- effect most pronounced for perihelion shift (periodic motion)

C.L., Perlick, Hasse: PRD 2012

# Quantum mechanics in Finsler space

Finslerian Hamilton operator

$$H = H(p) \quad \text{with} \quad H(\lambda p) = \lambda^2 H(p)$$

“Power-law” ansatz (non-local operator)

$$H = \frac{1}{2m} \left( g^{i_1 \dots i_{2r}} \partial_{i_1} \dots \partial_{i_{2r}} \right)^{\frac{1}{r}}$$

Simplest case: quartic metric

$$H = \frac{1}{2m} \left( g^{ijkl} \partial_i \partial_j \partial_k \partial_l \right)^{\frac{1}{2}}$$

Deviation from standard case

$$\begin{aligned} H &= -\frac{1}{2m} \left( \Delta^2 + \phi^{ijkl} \partial_i \partial_j \partial_k \partial_l \right)^{\frac{1}{2}} \\ &= -\frac{1}{2m} \Delta \sqrt{1 + \frac{\phi^{ijkl} \partial_i \partial_j \partial_k \partial_l}{\Delta^2}} \end{aligned}$$

# Quantum mechanics in Finsler space

$$H = -\frac{1}{2m} \Delta \left( 1 + \frac{1}{2} \frac{\phi^{ijkl} \partial_i \partial_j \partial_k \partial_l}{\Delta^2} \right)$$

- Hughes–Drever:  $H_{\text{tot}} = H + \boldsymbol{\sigma} \cdot \mathbf{B}$
- Atomic interferometry, atom–photon interaction

$$\delta\phi \sim H(p+k) - H(p) = \frac{k^2}{2m} + \frac{1}{m} \left( \delta^{il} + \frac{\phi^{ijkl} p_j p_k}{p^2} \right) p_i k_l$$

modified Doppler term: gives different Doppler term while rotating the whole apparatus (even in Finsler light still propagates on straight lines, anisotropy – deformed mass shell)

- incorporation of gravity needs relativistic framework

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# Summary

- discussion of underlying assumptions influencing the meaning of UFF and EEP
- order of equation of motion
- Finsler geometry as example for no inertial system / violation of local Minkowski
- no test theory so far for Finslerian modification of gravity, needs considerations **beyond PPN**
- Finslerian modification of Schwarzschild
- Solar system effects
- Finsler is further example for **violation of Schiff's conjecture**

# Outlook

- Earth–Moon system in field of Sun, should lead to extra polarization, comparison with LLR data
- Finslerian extension of Kerr
- Klein–Gordon in Finsler in order to discuss coupling of Finsler gravity to quantum mechanics  $F(\partial)\varphi + m^2\varphi = 0$
- Maxwell equations in Finsler geometry  $H^\nu(\partial)F_{\mu\nu} = j_\mu$  (C.L., Perlick, Hasse, PRD 2012)
- Hydrogen atom in Finsler geometry (Itin, C.L., Perlick, in preparation)





# Summary

# Thank you!

Thanks to

- H. Dittus
- E. Göklü
- D. Lorek
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- P. Rademaker
- DLR
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- Research Training Group  
“Models of Gravity”
- Center of Excellence QUEST

